







Enforcement Policy Discussion for General Service Lamps (GSLs)

May 2022

Enforcement Policy: Background

- DOE issued two final rules relating to general service lamps:
 - 1. Adopting an expanded definition of General Service Lamps
 - 2. Implementing the Congressional Efficacy Sales Prohibition
- The GSL definitions rule goes into effect 60 days after publication in the Federal Register.
- The GSL backstop rule goes into effect 75 days after publication in the Federal Register.
- Simultaneously, DOE issued an enforcement policy to aid in the transition, which can be found here at the following website:
 GSL EnforcementPolicy 4 25 22.pdf (energy.gov)



General Service Lamp Definition - Scope

• The GSL definitions rule defined a GSL as a lamp that has an ANSI base; is able to operate at a voltage of 12 volts or 24 volts, at or between 100 to 130 volts, at or between 220 to 240 volts, or of 277 volts for integrated lamps (as defined in this section), or is able to operate at any voltage for non-integrated lamps (as defined in this section); has an initial lumen output of greater than or equal to 310 lumens (or 232 lumens for modified spectrum general service incandescent lamps) and less than or equal to 3,300 lumens; is not a light fixture; is not an LED downlight retrofit kit; and is used in general lighting applications. General service lamps include, but are not limited to, general service incandescent lamps, compact fluorescent lamps, general service light-emitting diode lamps, and general service organic light emitting diode lamps. General service lamps do not include:

- Appliance lamps 1. 13. 2. Black light lamps 14. 3. **Bug lamps** 15. Colored lamps 4. 5. G shape lamps with a diameter of 5 inches or more as defined in ANSI C79.1-2002 General service fluorescent lamps 6. 16. 7. High intensity discharge lamps 17. Infrared lamps 8. 18. 9. J, JC, JCD, JCS, JCV, JCX, JD, JS, and 19. JT shape lamps that do not have Edison screw bases Lamps that have a wedge base or 10. prefocus base Left-hand thread lamps 11. 12. Marine lamps
 - Marine signal service lamps
 - 14. Mine service lamps
 - MR shape lamps that have a first number symbol equal to 16 as defined in ANSI C79.1–2002, operate at 12 volts, and have a lumen output greater than or equal to 800
 - Other fluorescent lamps
 - Plant light lamps
 - R20 short lamps
 - Reflector lamps that have a first number symbol less than 16 as defined in ANSI C79.1–2002 and that do not have E26/E24, E26d, E26/50x39, E26/53x39, E29/28, E29/53x39, E39, E39d, EP39, or EX39 bases

- 20. S shape or G shape lamps that have a first number symbol less than or equal to 12.5 as defined in ANSI C79.1–2002
- 21. Sign service lamps
- 22. Silver bowl lamps
- 23. Showcase lamps
- 24. Specialty MR lamps
- 25. T shape lamps that have a first number symbol less than or equal to 8 as defined in ANSI C79.1–2002, nominal overall length less than 12 inches, and that are not compact fluorescent lamps
- 26. Traffic signal lamps



Enforcement Policy: Transition Stages

Enforcement Transition Stages

GSL Defintion Rule and Backstop Rule published

GSL Defintion Rule goes into effect Statutory Backstop Rule goes into effect

Leniency period begins Progessive Enforcement - Warning Notices begin Progessive Enforcement - Reduced penalites begin

Regular Enforcement



Enforcement Policy: Additional Information

- DOE reserves its discretion to consider new facts in individualized circumstances.
- DOE may contact entities during the transition stages, including distributors or retailers, to obtain information as part of an investigation.



Enforcement Policy: Manufacturers/Importers and Private Labelers

Production Date for U.S. Manufacture or Date of Entry for Imports	Period of Transition	Explanation
Beginning effective date of the backstop rule through August 31, 2022	Enforcement leniency period	Enforcement Discretion: Leniency to account for transition lead times*
September 1, 2022, through October 31, 2022	Progressive enforcement	Enforcement Discretion: Warning Notices in consideration of transition lead times*
November 1, 2022, through December 31, 2022		Enforcement Discretion: Reduced penalties in consideration of transition lead times*
Beginning January 1, 2023	Enforcement flexibilities end	Full enforcement of the GSL rules

^{*}DOE will exercise its enforcement discretion to the fullest extent of the law for egregious violations, repeat violations, or as circumstances dictate.



Questions Pertaining to Manufacturers/Importers and Private Labeler Transition

- DOE welcomes questions one at a time.
- Please raise your hand and wait patiently to be called on.
- Please remember to share the airtime.



Enforcement Policy: Distributors and Retailers**

Date of Distribution or Sale in the U.S.	Period of Transition	Explanation
Beginning effective date of the backstop rule through December 31, 2022	Enforcement leniency period	Enforcement Discretion: Leniency to account for transition lead times*
January 1, 2023, through February 28, 2023	Progressive enforcement	Enforcement Discretion: Warning Notices in consideration of transition lead times*
March 1, 2023, through July 31, 2023		Enforcement Discretion: Reduced penalties in consideration of transition lead times*
Beginning August 1, 2023	Enforcement flexibilities end	Full enforcement of the GSL rules

^{*}DOE will exercise its enforcement discretion to the fullest extent of the law for egregious violations, repeat violations, or as circumstances dictate.

^{**}Very small retailers should contact DOE for additional flexibilities regarding their inventory.



Questions Pertaining to Distributors and Retailers

- DOE welcomes questions one at a time.
- Please raise your hand and wait patiently to be called on.
- Please remember to share the airtime.

